


Sheet 1 of 2		INFORMATION DISCLOSURE STATEMENT					
FORM PTO 1449 (modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary) Date Submitted to PTO: June 7, 2005				ATTY DOCKET NO. 2004_0205		SERIAL NO. 10/773,811	
				APPLICANT Mitsuaki OSHIMA et al.			
				FILING DATE February 9, 2004		GROUP 2634 2634	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
DH	AA	3,824,548	7-16-74	Sullivan et al.	—	—	—
	AB	3,959,726	5-25-76	Hinoshita et al.	—	—	—
	AC	4,303,939	12-1-81	Stephens et al.	—	—	—
	AD	4,303,941	12-1-81	Marti et al.	—	—	—
	AE	4,309,726	1-5-82	Tanaka et al.	—	—	—
	AF	4,535,352	8-85	Haskell	—	—	—
	AG	4,564,858	1-14-86	Resch	—	—	—
	AH	4,581,639	4-8-86	Judge	—	—	—
	AI	4,769,819	9-6-88	Matsutani et al.	—	—	—
	AJ	4,794,447	12-27-88	Tsinberg	—	—	—
	AK	4,800,426	1-24-89	Glenn	—	—	—
	AL	4,817,192	3-28-89	Phillips et al.	—	—	—
	AM	5,007,088	4-9-91	Ooi et al.	—	—	—
	AN	5,029,003	7-91	Jonnalagadda	—	—	—
DH	AO	5,134,464	7-28-92	Basile et al.	—	—	—
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AP						
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)							
DH	AQ	Masafumi SAITO et al., "Bit Error Rate Characteristics of OFDM in Multipath Environment", 1991					
DH	AR	Ryutaro OHMOTO et al., "π/4-shift QPSK Subcarrier Transmission", 1991					
EXAMINER				DATE CONSIDERED 07/26/05			

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include cop. this form with next communication to applicant.

Sheet 2 of 2		INFORMATION DISCLOSURE STATEMENT					
FORM PTO 1449 (modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary) Date Submitted to PTO: June 7, 2005				ATTY DOCKET NO. 2004_0205		SERIAL NO. 10/773,811	
				APPLICANT Mitsuki OSHIMA et al.			
				FILING DATE February 9, 2004		GROUP 2644 2634	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
DH	AA	5,181,112	1-19-93	Citta et al.	—	—	—
	AB	5,377,051	12-27-94	Lane et al.	—	—	—
	AC	5,400,084	3-21-95	Scarpa	—	—	—
	AD	5,576,902	11-19-96	Lane et al.	—	—	—
	AE	5,218,622	6-8-93	Fazel et al.	—	—	—
	AF	5,561,468	10-1-96	Bryan et al.	—	—	—
	AG	5,598,220	1-97	Citta et al.	—	—	—
	AH	5,802,241	9-1-98	Oshima	—	—	—
DH	AI	5,214,656	5-25-93	Chung et al.	—	—	—
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
DH	AJ	3-48587	3-1-91	Japan	—	—	abstract
	AK						
	AL						
	AM						
	AN						
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)							
DH	AO	J. A. C. Bingham, "Multicarrier Modulation for Data Transmission: An Idea Whose Time Has Come", IEEE Communications Magazine, Vol. 28, May 1990, pages 5-8 and 11-14					
DH	AP	B. Hirosaki, "An Orthogonally Multiplexed QAM System Using the Discrete Fourier Transform", IEEE Transactions on Communications, Vol. Com-29, No. 7, July 1981, pages 982-989					
DH	AQ	L. J. Cimini, Jr., "Analysis and Simulation of a Digital Mobile Channel Using Orthogonal Frequency Division Multiplexing", IEEE Transactions on Communications, Vol. Com-33, No. 7, July 1985, pages 665-675 and Annex					
EXAMINER				DATE CONSIDERED			
				07/26/05			

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U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
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Date Submitted to PTO: July 22, 2004

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Mitsuki OSHIMA et al.FILING DATE
February 9, 2004GROUP
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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
DA	AJ	2-154583	6-13-90	Japan	—	—	abstract
	AK						
	AL						
	AM						
	AN						

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

DA	AO	Shigeki MORIYAMA et al., "Delay Propagation Characteristics at VHF and UHF bands in Urban Area", 1991 Spring National Convention Record, The Institute of Electronics, Information and Communication Engineers, Part 2, p. 406, March 15, 1991
DA	AP	Yasuhiro ITO et al., "Adaptive Weighted Code Division Multiplexing (AW-CDM) Transmission System for Terrestrial Digital Television Broadcasting", ITE Technical Report Vol. 17, No. 13, pp 27-32, February 25, 1993
	AQ	

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FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
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Date Submitted to PTO: February 9, 2004

ATTY DOCKET NO.
2004_0205SERIAL NO.
~~NEW~~ 10/773,811APPLICANT
Mitsuaki OSHIMA et al.FILING DATE
February 9, 2004

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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
DH	AA	5,311,550	5-10-94	Fouche et al.	—	—	—
	AB	4,630,287	12-16-86	Armstrong	—	—	—
	AC	4,271,527	6-2-81	Armstrong	—	—	—
	AD	5,050,188	9-17-91	Dirr	—	—	—
	AE	5,398,073	3-14-95	Wei	—	—	—
	AF	5,452,015	9-19-95	Hulyalkar	—	—	—
	AG	5,565,932	10-15-96	Citta et al.	—	—	—
	AH	5,481,412	1-2-96	Bannai et al.	—	—	—
DH	AI	3,909,721	9-30-75	Bussgang et al.	—	—	—

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
DH	AJ	0365431	4-25-90	EP	—	—		X
	AK	0485105	5-13-92	EP	—	—	X	
	AL	92/14343	8-20-92	WO	—	—	X	
	AM	89/08366	9-8-89	WO	—	—	X	
DH	AN	0031193	7-1-81	EP	—	—	X	

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

DH	AO	Shinji Matsumoto et al., "200 Mb/s 16 QAM Digital Radio-Relay System Operating in 4 and 5 GHz Bands", Japan Telecommunications Review, January 1982, vol. 24, no. 1, pages 65-73.
DH	AP	M. Pecot et al., "Compatible Coding of Television Images, Part 2. Compatible System", Signal Processing Image Communication, October 2, 1990, no. 3, pages 259-268.
DH	AQ	Mitsuaki Oshima, "Constellation-Code Division Multiplex for Digital HDTV", IEEE, 1992, pages 1086-1092.

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Sheet 2 of 7							
FORM PTO 1449 (modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary) Date Submitted to PTO: February 9, 2004				ATTY DOCKET NO. 2004_0205		SERIAL NO. NEW- 10/773,811	
APPLICANT Mitsuki OSHIMA et al.				FILING DATE February 9, 2004			
GROUP 2634							
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
DH	AA	4,227,152	10-7-80	Godard et al.	_____	_____	_____
	AB	4,525,846	6-25-85	Bremer et al.	_____	_____	_____
	AC	4,751,478	6-14-88	Yoshida	_____	_____	_____
	AD	4,891,806	1-2-90	Farias et al.	_____	_____	_____
	AE	4,903,125	2-20-90	Parker	_____	_____	_____
	AF	4,937,844	6-26-90	Kao	_____	_____	_____
	AG	5,164,963	11-17-92	Lawrence et al.	_____	_____	_____
	AH	5,555,275	9-1996	Oshima	_____	_____	_____
DH	AI	5,565,926	10-15-96	Bryan et al.	_____	_____	_____
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
DH	AJ	0311188	4-12-89	EP	_____	_____	X
	AK	0329158	8-23-89	EP	_____	_____	X
	AL	64-5135	1-10-89	JP	_____	_____	X
	AM	63-28145	2-5-88	JP	_____	_____	X
DH	AN	62-133842	6-17-87	JP	_____	_____	X
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)							
DH	AO	Tricia Hill et al., "A Performance Study of NLA 64-State QAM", IEEE Transactions on Communications, vol. COM-31, June 1983, no. 6, pages 821-826.					
DH	AP	Hideki Ishio et al., "A Proposal of a Carrier Digital Transmission System Using Multi-Level APSK", pages 1-20.					
DH	AQ	Shanmugam, "Digital and Analog Communication Systems" 1979, p. 272.					
EXAMINER				DATE CONSIDERED 09/26/05			

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FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

Date Submitted to PTO: February 9, 2004

ATTY DOCKET NO.
2004_0205SERIAL NO.
~~NEW~~

10/773,811

APPLICANT
Mitsuaki OSHIMA et al.FILING DATE
February 9, 2004

GROUP

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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
DH	AA	5,105,442	4-14-92	Wei	—	—	—
	AB	4,048,572	9-13-77	Dogliotti et al.	—	—	—
	AC	5,282,019	1-25-94	Basile et al.	—	—	—
	AD	5,311,547	5-10-94	Wei	—	—	—
	AE	5,267,021	11-30-93	Ramchandran et al.	—	—	—
	AF	5,038,219	8-6-91	Yamashita et al.	—	—	—
	AG	5,087,975	2-11-92	Citta et al.	—	—	—
	AH	5,086,340	2-4-92	Citta et al.	—	—	—
DH	AI	5,243,629	9-7-93	Wei	—	—	—

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
DH	AJ	53-137657	12-1-78	JP	—	—	X
	AK	0282298	9-14-88	Europe	—	—	X
	AL	0392538	10-17-90	Europe	—	—	X
	AM	0490552	6-17-92	Europe	—	—	X
DH	AN	0506400	9-30-92	Europe	—	—	X

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

DH	AO	Khaled Fazel et al., Combined multilevel coding and multiresolution modulation, February 8, 1993, Pages 1081-1085
DH	AP	P. Hoeher et al., Performance of an RCPC-Coded OFDM-based Digital Audio Broadcasting (DAB) System, IEEE Global Telecommunications Conference "Globecom '91", December 2-5, 1991, Vol. 1 of 3, Pages 2.1.1 - 2.1.7
DH	AQ	K. M. Uz et al., Combined multiresolution source coding and modulation for digital broadcast of HDTV*, 1992, Pages 283-292

EXAMINER

DATE CONSIDERED

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FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
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Date Submitted to PTO: February 9, 2004

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10/073,811B

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Mitsuaki OSHIMA et al.FILING DATE
February 9, 2004

GROUP

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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
DH	AA	5,142,353	8-25-92	Kageyama et al.	—	—	—
	AB	5,197,061	3-23-93	Halbert-Lassalle et al.	—	—	—
	AC	5,291,289	3-1-94	Hulyalkar et al.	—	—	—
	AD	5,166,924	11-24-92	Moose	—	—	—
	AE	4,940,954	7-10-90	Aubert et al.	—	—	—
	AF	5,128,758	7-7-92	Azadegan et al.	—	—	—
	AG	5,038,402	8-6-91	Robbins	—	—	—
DH	AH	5,638,112	6-10-97	Bestler et al.	—	—	—

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
DH	AI	0448492A1	9-25-91	Europe	—	—		X
	AJ	0485108A2	5-13-92	Europe	—	—	X	
	AK	0525641A2	2-3-93	Europe	—	—	X	
	AL	0540231A2	5-5-93	Europe	—	—	X	
DH	AM	0531046A2	3-10-93	Europe	—	—	X	

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

DH	AN	K. M. Uz et al., Multiresolution Source and Channel Coding for Digital Broadcast of HDTV, 1992, Pages 61-69.
DH	AO	Nambi Seshadri et al., Multi-Level Block Coded Modulations with Unequal Error Protection for the Rayleigh Fading Channel, Vol. 4, No. 3, May-June 1993, Pages 325-334.
DH	AP	William F. Schreiber, Spread-Spectrum Television Broadcasting, SMPTE Journal, August 1992, Pages 538-549.

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DATE CONSIDERED

09/26/05

Sheet 5 of 7							
FORM PTO 1449 (modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary) Date Submitted to PTO: February 9, 2004				ATTY DOCKET NO. 2004_0205		SERIAL NO. NEW <u>10/773, 811</u>	
				APPLICANT Mitsuki OSHIMA et al.			
				FILING DATE February 9, 2004		GROUP <u>2624</u>	
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
DH	AA	5,063,445	11-5-91	Nishizawa et al.	—	—	—
	AB	5,819,000	10-6-98	Oshima	—	—	—
	AC	5,999,569	12-7-99	Oshima	—	—	—
DH	AD	5,892,879	4-6-99	Oshima	—	—	—
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
DH	AE	7-99522	4-11-95	Japan	—	—	abstract
	AF	7-79415	3-20-95	Japan	—	—	abstract
	AG	5-75568	3-26-93	Japan	—	—	abstract
	AH	86-07223	12-4-86	WO	—	—	X
	AI	2 187 611	9-9-87	Great Britain	—	—	X
	AJ	85/04541	10-10-85	WO	—	—	X
	AK	04-196822	7-16-92	Japan	—	—	abstract
	AL	2-166979	6-27-90	Japan	—	—	abstract
	AM	64-74836	3-20-89	Japan	—	—	abstract
DH	AN	53-108215	9-20-78	Japan	—	—	abstract
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)							
DH	AO	Martin Vetterli et al., Multiresolution Coding Techniques for Digital Television: A Review, Multidimensional Systems and Signal Processing, Volume 3, May 1992, Pages 161-187.					
DH	AP	Ezio Biglieri et al., Introduction to Trellis-Coded Modulation with Applications, April 6, 1992, Pages 173-207.					
DH	AQ	English Language Abstract of European Patent No. 93 30 7575					
EXAMINER <u>Don Bull</u>				DATE CONSIDERED <u>09/26/05</u>			

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FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
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NEW 10773, 811APPLICANT
Mitsuaki OSHIMA et al.FILING DATE
February 9, 2004

GROUP

2634

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
DH	AA	4,636,876	1-13-87	Schwartz	_____	_____	_____
	AB	4,855,692	8-8-89	Kennard et al.	_____	_____	_____
	AC	4,873,701	10-10-89	Tretter	_____	_____	_____
	AD	4,958,360	9-18-90	Sari	_____	_____	_____
	AE	5,115,453	5-19-92	Calderbank et al.	_____	_____	_____
	AF	5,168,509	12-1-92	Nakamura et al.	_____	_____	_____
	AG	6,049,651	4-11-00	Oshima	_____	_____	_____
DH	AH	6,256,357	7-3-01	Oshima	_____	_____	_____
	AI						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
DH	AJ	0122805	10-24-84	EP	_____	_____	X
	AK	63-180280	7-25-88	JP	_____	_____	abstract
	AL	64-68144	3-14-89	Japan	_____	_____	abstract
	AM	5-218978	8-27-93	Japan	_____	_____	X
DH	AN	3-69295	3-25-91	Japan	_____	_____	X

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

DH	AO	Kazuhiko Nitadori, Synthesis of Multichannel Orthogonal VSB Signals by Quadrature Method, 1976 International Conference on Communications, June 14-16, 1976, Volume 1, Pages 3-25 - 3-29.
DH	AP	Thomas M. Cover, Broadcast Channels, IEEE Transactions on Information Theory, January 1972, Pages 2-14
DH	AQ	"Optimum Weighted PCM for Speech Signals", Sundberg, IEEE Transactions on Communications, Volume COM-26, No. 6, June 1978, Pages 872-881.

EXAMINER

DATE CONSIDERED

09/26/05

Sheet 7 of 7							
FORM PTO 1449 (modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary) Date Submitted to PTO: February 9, 2004				ATTY DOCKET NO. 2004_0205		SERIAL NO. NEW 10/773,811	
APPLICANT Mitsuaki OSHIMA et al.				FILING DATE February 9, 2004			
GROUP 7634							
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
DH	AA	5,170,413	12-8-92	Hess et al.	_____	_____	_____
	AB						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
DH	AC	57-39629	3-4-82	Japan	_____	_____	abstract
	AD	58-161547	9-26-83	Japan	_____	_____	abstract
	AE	63-180222	7-25-88	Japan	_____	_____	abstract
	AF	92/22162	12-10-92	WO	_____	_____	X
	AG	2-94814	4-5-90	Japan	_____	_____	abstract
	AH	61-70861	4-11-86	Japan	_____	_____	abstract
	AI	2-218279	8-30-90	Japan	_____	_____	abstract
	AJ	2,095,435	5-10-92	Canada	_____	_____	X
	AK	91/20137	12-26-91	WO	_____	_____	X
	AL	2-141049	5-30-90	Japan	_____	_____	X
	AM	2-195732	8-2-90	Japan	_____	_____	abstract
	AN	5-167633	7-2-93	Japan	_____	_____	abstract
	AO	2-260726	10-23-90	Japan	_____	_____	X
DH	AP	58-161427	9-26-83	Japan	_____	_____	abstract
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)							
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	AR						
EXAMINER				DATE CONSIDERED			
				09/26/05			

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